

compliance officer (group level)

Position: Compliance Officer (Group level)

Grade:

Department:

Location: Houghton, Johannesburg

Reference: RC09.WS02

Closing date: On going

Purpose of Role

Join the leading Financial Services Company to head up the dynamic legal and compliance team. Candidate will be primarily responsible to head up

Minimum Requirements

- **Qualification (s)**
 - At least a legal B Degree (LLB, B Com Law etc)
 - An admitted Attorney will be preferred
- **Experience**
 - Three years post graduate experience in Compliance within the Financial Services Industry, Banking preferred
 - JSE Compliance Experience critical
 - Registered persons exams for JSE Compliance
- **Skills and Competencies required**
 - Utilize his/her legal qualifications to join this dynamic company
 - Admitted attorney and exposure to CISCA, NCA, FICA, FAIS, LTIA and Companies Act a distinct advantage
- **Key responsibilities**
 - Monitor compliance for client mandates
 - Draft agreements and investment guidelines
 - Roll-out group policies, procedures and controls

